



Budget Office
Fort Bend County, Texas

Pamela Gubbels
Director of Finance & Investments

(281) 344-3938
Fax (281) 344-3954

April 12, 2016

Scott Rider
Managing Director
CastleOaks Securities, LP
110 East 59th Street, 2nd Floor
New York, NY 10022

Dear Mr. Rider,

The Commissioners Court of Fort Bend County has approved the list of Broker/Dealer Companies authorized to sell securities to the County. I am pleased to tell you that your company was approved. This approval will be in effect until the Court considers a new list in 2017, probably in February.

During the year, the County Investment Officer will request lists of available securities meeting the County's requirements. From the responses, we shall select the securities in which the County will invest its surplus cash. This process will be done on the basis of which security provides the best advantage to the county, considering the requirements of its investment policy.

Investment solicitation will be made via email. If you would like your back-up representative to also receive the email solicitations, please provide their email address.

Investments will be made to fulfill the primary goals of County Investments, namely safety, liquidity and finally, yield. We will work hard to diversify our portfolio within these goals. We will seek securities that satisfy all these requirements, and we look forward to your assistance in making this happen.

If you have any questions, or if I can be of any assistance, please contact me at (281) 344-3938, or email at pamela.gubbels@fortbendcountytexas.gov.

Sincerely,

A handwritten signature in cursive script that reads "Pamela Gubbels".

Pamela Gubbels
Director of Finance & Investments



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April 12, 2016

Tony Sekaly
Managing Director
Coastal Securities, Inc.
920 Memorial City Way, Suite 1100
Houston, TX 77024

Dear Mr. Sekaly,

The Commissioners Court of Fort Bend County has approved the list of Broker/Dealer Companies authorized to sell securities to the County. I am pleased to tell you that your company was approved. This approval will be in effect until the Court considers a new list in 2017, probably in February.

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April 12, 2016

Chris Theut
Senior Vice President, Investments
Comerica
39400 Woodward Ave.
Suite 255, MC:7951
Bloomfield Hills, MI 48304

Dear Mr. Theut,

The Commissioners Court of Fort Bend County has approved the list of Broker/Dealer Companies authorized to sell securities to the County. I am pleased to tell you that your company was approved. This approval will be in effect until the Court considers a new list in 2017, probably in February.

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April 12, 2016

Stephen Capoferi
Vice President
Duncan-Williams, Inc.
11458 W. Travelers Way Cir
Houston, TX 77065

Dear Mr. Capoferi,

The Commissioners Court of Fort Bend County has approved the list of Broker/Dealer Companies authorized to sell securities to the County. I am pleased to tell you that your company was approved. This approval will be in effect until the Court considers a new list in 2017, probably in February.

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April 12, 2016

Linda Callaway-Gusnowski
Director
Hilltop Securities, Inc.
300 West 6th Street, Suite 1940
Austin, TX 78701

Dear Ms. Callaway-Gusnowski,
The Commissioners Court of Fort Bend County has approved the list of Broker/Dealer Companies authorized to sell securities to the County. I am pleased to tell you that your company was approved. This approval will be in effect until the Court considers a new list in 2017, probably in February.

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April 12, 2016

Steve Neri
Senior Vice President
Ladenburg Thalmann & Co, Inc
2020 Main St., Suite 650
Irvine CA 92614

Dear Mr. Neri,

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April 12, 2016

Glen Capelo
Managing Director, Fixed Income Sales
Mischler Financial Group, Inc
9330 LBJ Freeway, Suite 900
Dallas, TX 75243

Dear Mr. Capelo,

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April 12, 2016

Robert Samples
Vice President
Multi-Bank Securities, Inc.
1000 Town Center Suite 2300
Southfield, MI 48075

Dear Mr. Samples,

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April 12, 2016

Philip Hartigan
Vice President
Raymond James & Associates, Inc.
1130 North Carroll Ave., Suite 100
Southlake, TX 76092

Dear Mr. Hartigan,

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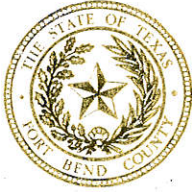
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April 12, 2016

Jared Fragin
Managing Director
Rice Securities, LLC
55 Broad St., 27th floor
New York, NY 10004

Dear Mr. Fragin,

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April 12, 2016

Robert Hirsch
Senior Managing Director
Shearson Financial Services, LLC
6750 West Loop South, Suite 235
Bellaire, TX 77401

Dear Mr. Hirsch,

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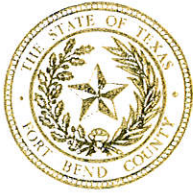
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April 12, 2016

Gilbert Ramon
Institutional Brokerage Sales Representative
Wells Fargo Securities, LLC
1000 Louisiana Street, 6th Floor
Houston, TX 77002-5027

Dear Mr. Ramon,

The Commissioners Court of Fort Bend County has approved the list of Broker/Dealer Companies authorized to sell securities to the County. I am pleased to tell you that your company was approved. This approval will be in effect until the Court considers a new list in 2017, probably in February.

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